

FORM PTO-1390 OFFICE (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK	ATTORNEY'S DOCKET NUMBER 2691-000058/US
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 10/594475 NEW EXPRESS MAIL LABEL NO.	
INTERNATIONAL APPLICATION NO.	INTERNATIONAL FILING DATE		PRIORITY DATE CLAIMED
PCT/JP2005/005769	March 28, 2005		March 29, 2004
TITLE OF INVENTION SEMICONDUCTOR POLISHING COMPOSITION			
APPLICANT(S) FOR DO/EO/US Yoshiharu OHTA and Yasuyuki ITAI			
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:			
<ol style="list-style-type: none"> <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39 (1). <input checked="" type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31). <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> <input checked="" type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau). <input checked="" type="checkbox"/> has been transmitted by the International Bureau. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <ol style="list-style-type: none"> <input checked="" type="checkbox"/> is transmitted herewith. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4) <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)). <ol style="list-style-type: none"> <input checked="" type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau). <input type="checkbox"/> have been transmitted by the International Bureau. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. <input type="checkbox"/> have not been made and will not be made. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). 			
Items 11. to 20. below concern document(s) or information included:			
<ol style="list-style-type: none"> <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98-1449, International Search Report (PCT/ISA/210 and PCT/ISA/220) in English and PTO Form 1449 <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. <input checked="" type="checkbox"/> A FIRST preliminary amendment. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. <input checked="" type="checkbox"/> A substitute specification. <input type="checkbox"/> A change of power of attorney and/or address letter. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4). <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). <input checked="" type="checkbox"/> Other items or information: PCT/IB/304; PCT/IB/308; PCT/IB/332; PCT/ISA/237; PCT/IPEA/408; PCT/IPEA/409; Article 34 Amended Sheets 			

U.S. APPLICATION NO (if known, see 37 CFR 1.5)	INTERNATIONAL APPLICATION NO PCT/JP2005/005769	ATTORNEY'S DOCKET NUMBER 2691-000058/US												
108594475		CALCULATIONS PTO USE ONLY												
<p><input checked="" type="checkbox"/> The following fees are submitted:</p> <p>PCT FEES – NATIONAL STAGE:</p> <table border="1"> <thead> <tr> <th>Fee Code</th> <th>Description</th> <th>Fee</th> </tr> </thead> <tbody> <tr> <td>1631</td> <td>Basic National Stage Fee.....</td> <td>\$300.00</td> </tr> <tr> <td>1632</td> <td>National Stage Search Fee.....</td> <td>\$400.00</td> </tr> <tr> <td>1633</td> <td>National Stage Examination Fee.....</td> <td>\$200.00</td> </tr> </tbody> </table>			Fee Code	Description	Fee	1631	Basic National Stage Fee.....	\$300.00	1632	National Stage Search Fee.....	\$400.00	1633	National Stage Examination Fee.....	\$200.00
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1631	Basic National Stage Fee.....	\$300.00												
1632	National Stage Search Fee.....	\$400.00												
1633	National Stage Examination Fee.....	\$200.00												
ENTER APPROPRIATE FEE AMOUNT =		\$ 900.00												
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 Months from the earliest claimed priority date (37 CFR 1.492(e)).		\$ _____												
PAGES	PAGES FILED	EXTRA PAGES FILED IN 50 PAGE SEGMENTS	RATE											
Total Pages	<u>16 - 100 =0</u>	0	X 250.00	\$ 0.00										
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE											
Total Claims	8 - 20 =	0	X 50.00	\$ 0.00										
Independent Claims	1 - 3 =	0	X 200.00	\$ 0.00										
MULTIPLE DEPENDENT CLAIM(S) (if applicable)		_____	+ 360.00	\$ 0.00										
TOTAL OF ABOVE CALCULATIONS =		\$ 900.00												
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.		\$ _____												
SUBTOTAL =		\$ 900.00												
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 Months from the earliest claimed priority date (37 CFR 1.492(f)).		\$ _____												
TOTAL NATIONAL FEE =		\$ 900.00												
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +		\$ 40.00												
TOTAL FEES ENCLOSED =		\$ 940.00												
		Amount to be: refunded	\$ _____											
		charged	\$ _____											
<p>a. <input checked="" type="checkbox"/> A check in the amount of \$940.00 to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. 08-0750 in the amount of \$ _____ to cover the above fees. A triplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>08-0750</u>.</p>														
<p>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</p> <p>Send all correspondence to: Harness, Dickey & Pierce, P.L.C – Customer No. 30593 Post Office Box 8910 Reston, Virginia 20195</p> <p>Date: September 28, 2006</p> <p>By _____</p> <p>Donald J. Daley, Reg. No. 34,313</p>														

DJJD:dpq

10/594475

IAP01 Rec'd PCT/PTO 28 SEP 2008

PATENT

IN THE U.S. PATENT AND TRADEMARK OFFICE

Applicant(s): Yoshiharu OHTA et al.

Int'l Application No.: PCT/JP2005/005769

Application No.: **NEW APPLICATION**

Filed: September 29, 2006

For: SEMICONDUCTOR POLISHING COMPOSITION

LETTER

**Customer Service Window
Randolph Building
401 Dulany Street
Alexandria, VA 22314
Mail Stop PCT**

September 29, 2006

Sir:

Amended claims are attached hereto (which correspond to Article 34 amendments or to claims attached to the International Preliminary Examination Report), as required by 35 U.S.C. § 371(c)(3). The Article 34 amended claims are incorporated in the included substitute specification and Preliminary Amendment.

Respectfully submitted,

HARNESS, DICKEY & PIERCE, P.L.C.

By: (Signature)
Donald J. Daley, Reg. No. 34,313

P.O. Box 8910

Reston, Virginia 20195
(703) 668-8000

DJD:dpg